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PTO/SB/08a (08-03)

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> ( Not for submission under 37 CFR 1.99)	Application Number		10676318	
	Filing Date		2003-10-01	
	First Named Inventor	Johnston		
	Art Unit	3624		
	Examiner Name	Colbert		
	Attorney Docket Number	006119.00010		

## U.S.PATENTS

Examiner Initial*	Cite No	Patent Number	Kind Code <sup>1</sup>	Issue Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
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## U.S.PATENT APPLICATION PUBLICATIONS

Examiner Initial*	Cite No	Publication Number	Kind Code <sup>1</sup>	Publication Date	Name of Patentee or Applicant of cited Document	Pages, Columns, Lines where Relevant Passages or Relevant Figures Appear
JC	1	20020065755		2002-05-30	Shlafman	
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## FOREIGN PATENT DOCUMENTS

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 3			<b>Complete If Known</b> Application Number 10/676,318 Filing Date October 1, 2003 First Named Inventor Johnston Art Unit 3624 Examiner Name T/B/D Attorney Docket Number 006119.00010	
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### U.S. PATENT DOCUMENTS


Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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Examiner Initials *	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>2</sup>
		Country Code <sup>3</sup> - Number <sup>4</sup> - Kind Code <sup>5</sup> (if known)				

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Examiner Initials *	Cite No. 1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T 2
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NON PATENT LITERATURE DOCUMENTS			
Examiner Initials *	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
EC		"X_Trader Platform" [retrieved on 2004/03/18] Retrieved from the Internet URL< <a href="http://www.tradingtechnologies.com/blue_xtrader.html">http://www.tradingtechnologies.com/blue_xtrader.html</a>	
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

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Sheet 1 of 2

**Complete if Known**

Application Number	10/676,318
Filing Date	October 1, 2003
First Named Inventor	Scott Johnston, et al
Art Unit	T/B/D
Examiner Name	T/B/D
Attorney Docket Number	006119.00010

**U.S. PATENT DOCUMENTS**

Examiner Initials *	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			
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JL		US- 6,421,653	07/16/2002	May	
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Examiner Signature	<i>J. Collier</i>	Date Considered	9-27-07
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# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Sheet	2
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<b>Filing Date</b>	<b>October 1, 2003</b>
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<b>First Named Inventor</b>	<b>Scott Johnston, et al</b>
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Group Art Unit	T/B/D
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Examiner Name	T/B/D
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Attorney Docket Number	006119.00010
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